# Applications of Reinforcement Learning

Ist künstliche Intelligenz gefährlich?

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Playing Atari with Deep Reinforcement Learning

#### **Motivation**

- most successful RL applications
  - handcrafted features
  - linear value function or policy representation
- ➔ performance relies on quality of features
- advances in deep learning
  - high-level features from raw sensory data

→ Deep Reinforcement Learning

#### Goal

- play Atari with only raw pixels as input
- reward: game score
  - $\circ$  can be delayed
- connect RL algorithm to deep CNN
  - directly working on RGB images
  - downsampled
  - grayscale



#### Experience replay and preprocessing

- replay memory
  - 1 million most recent frames
- one experience
  - $\circ \quad (\phi_j, a_j, r_j, \phi_{j+1})$
- preprocessing function  $\phi(s)$ 
  - stacks history of 4 images
  - crops 84x84 region of image
- initialise  $s_1 = \{x_1\}$  and  $\phi_1 = \phi(s_1)$

#### **Network Architecture**



#### Experience generation

- every k-th frame
  - with probability  $\boldsymbol{\varepsilon}$  select random action  $a_{t}$ 
    - ε = 1
    - anneals to 0.1
  - $\circ$  otherwise select  $a_t = \max_a Q^*(\phi(s_t), a; \theta)$
  - $\circ$  execute a<sub>t</sub>, observe reward r<sub>t</sub> and image x<sub>t+1</sub>
  - set  $s_{t+1} = s_t, a_t, x_{t+1}$
  - preprocess  $\phi_{t+1} = \phi(s_{t+1})$
  - $\circ$  store transition  $(\phi_j, a_j, r_j, \phi_{j+1})$  in replay memory

#### Deep Q-learning

• sample random experience  $(\phi_j, a_j, r_j, \phi_{j+1})$ 

• set  $y_j = \begin{cases} r_j & \text{for terminal } \phi_{j+1} \\ r_j + \gamma \max_{a'} Q(\phi_{j+1}, a'; \theta) & \text{for non-terminal } \phi_{j+1} \end{cases}$ 

• perform gradient descent step on  $(y_j - Q(\phi_j, a_j; \theta))^2$ 



## Super Mario World

#### Goal

- first level of Super Mario World
- deep Q-learning with replay memory and spatial transformer
- emulator: Isnes (using LUA API)
- neural net framework: Torch
- training on random parts of the level

#### Inputs and Outputs

- inputs:
  - last 4 actions as two-hot-vectors (A/B/X/Y and an arrow button)
  - last 4 screenshots, downscaled to 32x32 (grayscale, slightly cropped)
  - current screenshot, 64x64 (grayscale, slightly cropped)
- state captured every 5th frame → 12 times per second
- replay memory size: 250.000 entries
- output:
  - Q-Values for every action in current state (8-dimensional vector)
  - choosing highest button and arrow value

#### Rewards

- +0.5 moving **right**
- +1.0 moving **fast right** (≥8 pixels)
- -1.0 moving left
- -1.5 moving **fast left** (≥8 pixels)
- +2.0 during level-finished-animation
- -3.0 during **death animation**

• Discount for future rewards:  $\gamma = 0.9$ 



### Policy

- ε-greedy policy
  - ο start: ε = 0.8
  - decreases to 0.1 over 400.000 actions

- random action: coin flip
  - randomize one out of two actions
  - randomize both actions

#### Demonstration



Source: https://youtu.be/L4KBBAwF\_bE



Stanford University Autonomous Helicopter

#### **Motivation**

- challenging control problem
   complex dynamics model
- exploration can cause crashes

   expensive

→ Apprenticeship learning



#### What is needed to fly autonomous?

- trajectory
  - desired path for the helicopter to follow
  - hand-coded
- dynamics model
  - learned from flying data
  - input: current state and controls
  - output: prediction where helicopter will be
- controller
  - feeds controls to fly trajectory
  - policy

#### Overview



#### Algorithm

- 1. start with an example flight
- 2. compute a **dynamics model** and **reward function** based on the target trajectory and sample flight
- 3. find a **controller** (policy) that maximizes this reward
- 4. fly the helicopter with the current controller and **add this data** to the **sample flight data**
- 5. if we flew the target trajectory stop, otherwise go to step 2

#### Problems

- quick learning
- only simple maneuvers
- can't hand-code **complex** trajectories
  - should obey system dynamics
  - unable to explain how task is performed

→ Apprenticeship learning of trajectory

#### Learning the trajectory

• multiple demonstrations of the same maneuver

$$y_j^k = \begin{bmatrix} s_j^k \\ u_j^k \end{bmatrix}, \text{ for } j = 0..N^k - 1, k = 0..M - 1$$

- s: sequence of states
- u: control inputs
- goal: find "hidden" target trajectory of length T

$$z_t = \begin{bmatrix} s_t^{\star} \\ u_t^{\star} \end{bmatrix}, \text{ for } t = 0..T - 1$$

#### **Graphical Model**

• intended trajectory

 $z_{t+1} = f(z_t) + \omega_t$ 

• expert demonstration

 $y_j = z_{\tau_j} + \nu_j$ 

• time indices  $\tau_j^k \sim \mathbb{P}(\tau_{j+1}^k | \tau_j^k).$ 



• intended trajectory satisfies dynamics, but  $\mathbf{\tau}$  unknown

#### Learning Algorithm

- unknown τ

   inference is hard
- known τ
  - standard HMM

Algorithm

- make initial guess for  $\tau$
- alternate between:
  - o fix  $\boldsymbol{\tau}$ , run Baum-Welch algorithm on resulting HMM
  - choose new τ using dynamic time warping



#### Further adjustments

• time varying dynamics model

$$z_{t+1} = f_t(z_t) + \omega_t^{(z)} \equiv f(z_t) + \beta_t^* + \omega_t^{(z)}$$

- f: crude model
- β: difference between crude estimation and target
- *w*: gaussian noise

- incorporation of prior knowledge
  - loops on plane in space
  - flips with center fixed

#### Demonstration



Source: https://youtu.be/VCdxqn0fcnE



### AlphaGo

#### Motivation

#### • Go

- 19x19 board
- goal: dominate the board
- $\circ$  surrounded area
- captured stones
- $\circ$  4.6x10<sup>70</sup> possible states
- previous Als: amateur level



#### First stage

• Supervised Learning Policy Network p<sub>σ</sub>

- input: board state s
- output: distribution over legal moves
- 30 million positions
- 57% accuracy
- **3 ms**
- Fast Rollout Policy Network p
  - faster
  - 24% accuracy
  - ο **2 μs**



#### Second stage

- Reinforcement Learning Policy Network p<sub>o</sub>
  - $\circ$  initialised with weights of  $p_{\sigma}$
  - plays against random previous iterations
  - rewards:
    - +1 win
    - -1 lost
    - 0 else



#### Third stage

- Value Network v<sub>ρ</sub>
  - value function for strongest policy v<sup>p</sup>(s)
  - predicts outcome from position s
  - outputs single prediction
  - 30 million games of self-play as input



#### Monte Carlo Tree Search



#### Summary

- tournament against other Als
  - 5 seconds per turn
  - 99.8% winrate overall
- handicapped games (4 stones)
  - 77% against Crazy Stone
  - 86% against Zen
  - 99% against Pachi
- AlphaGo distributed
  - 77% against single machine
  - 100% against other Als
- 5:0 against Fan Hui
- 4:1 against Lee Sedol



# Thanks for your attention!

#### Sources

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