InfoGAN: Interpretable Representation Learning by Information Maximizing Generative Adversarial Nets

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Explainable Machine Learning
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Table of Contents

1. Generative Adversarial Nets
2. Motivation
3. InfoGAN
   3.1. Approach
   3.2. Implementation
4. Results
1. Generative Adversarial Nets

A generative model trained through the competition between two neural nets:

- **Generator:** $G(z)$, $z \sim P_{\text{noise}}(z)$
  \[ \hat{x} = G(z) : \text{Sample generated by the generator} \]
  \[ P_{\text{noise}}(z) : \text{arbitrary noise distribution} \]

- **Discriminator:** $D(x) \in [0, 1]$
  \[ \text{probability of } x \text{ being from the true data distribution } P_{\text{data}} \text{ rather than a} \]
  \[ \text{generated sample from the generator’s distribution } P_G. \]

- **Optimization of both through the following minimax game:**
  \[ \min_G \max_D V(D, G) = \mathbb{E}_{x \sim P_{\text{data}}} [\log D(x)] + \mathbb{E}_{z \sim \text{noise}} [\log (1 - D(G(z)))] \]
Structure of a Generative Adversarial Net:
1. Generative Adversarial Nets

\[
\min_G \max_D V(D, G) = \mathbb{E}_{x \sim p_{\text{data}}} \left[ \log D(x) \right] + \mathbb{E}_{z \sim \text{noise}} \left[ \log (1 - D(G(z))) \right]
\]
1. Generative Adversarial Nets
1. Generative Adversarial Nets

![Diagram showing generative adversarial nets]
2. Motivation

In general, GANs produce entangled representations.

In order to be more interpretable and easier to apply to tasks, a disentangled representation is desired.

A disentangled representation would allow for one parameter to specify an important feature of the generated data. In the case of MNIST, this could be the identity of the generated number.
Desired feature dimensions for MNIST dataset:

- Numerical identity (0-9)
- Angle of rotation
- Stroke thickness
Desired feature dimensions for a dataset of faces:

- Facial expression
- Eye color
- Hairstyle
- Glasses
InfoGAN

- Learns a disentangled representation of the dataset.
- Instead of just using the noise $z$ for the generator, the noise is split into noise $z$ and latent code $c$:

\[
G(z)
\]

\[
G(z, c)
\]
We know that the MNIST dataset contains ten different types of digits. The latent code can be modeled to have a categorical code that represents the type of digit.

\[ c_1 \sim \text{Cat}(K = 10, p = 0.1) \]

GAN is not required to use the specified latent code and is free to choose to ignore it:

\[ P_G(x|c) = P_G(x) \]
3.1. InfoGAN - Approach

- To force the network to make use of the latent code, mutual information is measured:

\[ I(X; Y) = H(X) - H(X|Y) = H(Y) - H(Y|X) \]

- The value function is adjusted to achieve maximal mutual information between the latent code and the image generated from noise and the latent code:

\[
\min_D \max_G V_I(D, G) = V(D, G) - \lambda I(c; G(z, c))
\]
3.2. InfoGAN - Implementation

- To compute the mutual information $I(c; G(z, c))$, the posterior probability $P(c|x)$ is required.
- Calculating the posterior probability is difficult.
- Instead, an auxiliary distribution $Q(c|x)$, that approximates the posterior probability, is calculated with a neural network.
- With this approximation of the posterior probability, a lower boundary can be calculated:

$$I(c; G(z, c)) \geq L_I(G, Q)$$

$$= E_{c \sim P(c), x \sim G(z, c)} [\log Q(c|x)] + H(c)$$
Final form of the new value function:

\[
\min_D \max_{G,Q} V_{\text{InfoGAN}}(D, G, Q) = V(D, G) - \lambda L_I(G, Q)
\]

\[
\min_D \max_{G} V(D, G) = \mathbb{E}_{x \sim P_{\text{data}}} [\log D(x)] + \mathbb{E}_{z \sim \text{noise}} [\log (1 - D(G(z)))]
\]

\[
L_I(G, Q) = E_{c \sim P(c), x \sim G(z, c)} [\log Q(c|x)] + H(c)
\]
In practice, the neural network approximating the posterior probability is one fully connected layer that is attached to the final layer of the discriminator.
4. Results

Reflection

- InfoGAN is unsupervised. No labels are required for the training data.
- For MNIST, the following latent codes were specified:

  \[ c_1 \sim \text{Cat}(K = 10, p = 0.1) \]

  \[ c_2, c_3 \sim \text{Unif}(-1, 1) \]

- Without using the labels of MNIST, a 5% error rate was achieved when matching each digit type to one category of the latent code corresponding to the numerical identity.
Comparison of $L_1(G, Q)$ with and without explicitly encouraging maximal mutual information. The latent code is a uniform categorical distribution $c \sim \text{Cat}(K = 10, p = 0.1)$.

$L_1(G, Q)$ is quickly maximized to $H(c) \approx 2.30$, as it’s first term becomes zero.

$$L_1(G, Q) = E_{c \sim P(c), x \sim G(z, c)}[\log Q(c|x)] + H(c)$$
4. Results

(a) Varying $c_1$ on InfoGAN (Digit type)  
(b) Varying $c_1$ on regular GAN (No clear meaning)  

(c) Varying $c_2$ from $-2$ to $2$ on InfoGAN (Rotation)  
(d) Varying $c_3$ from $-2$ to $2$ on InfoGAN (Width)
4. Results

(a) Azimuth (pose)  
(b) Elevation

(c) Lighting  
(d) Wide or Narrow
4. Results

(a) Continuous variation: Lighting

(b) Discrete variation: Plate Context

(a) Rotation

(b) Width
4. Results

(a) Azimuth (pose)  
(b) Presence or absence of glasses

(c) Hair style  
(d) Emotion
Sources

- Evtimova, K. and Drozdov, A., “Understanding Mutual Information and its Use in InfoGAN”.