

Seminar: AI for Games 6/15/2019

Human level control through deep reinforcement learning

Paper by: V. Mnih, K. Kavukcuoglu, D. Silver et al. Presented by: Carsten Lüth Supervisor: Professor U. Köthe

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# 1. Why do we play video games?

- \* Humans play to:
  - \* learn
  - test their abilities
  - compete with each other
- \* Agents are trained on games:
  - because it is easier, faster and safer than in the real world
  - to benchmark their
    performance in different
    environments









ATARI2600 games Taken from: <u>https://gym.openai.com/envs/# atari</u>

# 1. How do we play Video Games?

- \* Humans:
  - 1. See and hear the video game
    - \* ?
  - 2. interpret the input
    - \* ?
  - 3. decide to do something
    - \* ?

- \* Agents before DQN:
  - 1. Get the pixels of the video input
  - 2. interpret the input
    - derive features from the pixels
      - hand-crafted features
  - 3. decide to do something
    - linear value functions, policy representations etc.

#### 1. MARI $\0$ - NEAT



*Taken from: <u>https://www.youtube.com/watch?v=qv6UVOQ0F44&t=224s</u>* 

### 1. Hand-Crafted Features

- \* Why are they used?
  - \* Computervision: raw pixels of videos or images are bad features
    - high dimensional, highly correlated
  - distill human knowledge into the agents
- \* Problems:
  - costly and often not generalizable from a game like pac-man to Super Mario
  - \* agents performance is limited by the features

# 2.A Deep Q Network

- \* Fundamental Concept:
  - self learning feature maps
    - \* CNN extracts features
  - features used for a learned Q-function
    - Fully connected network
  - Agent trainable via backpropagation



# 2.A DQN - playing Seaquest



Taken from: <u>https://www.youtube.com/watch?v=XjsY8-P4WHM</u>

## 2.B Convolutional Neural Network



Taken from: <u>https://en.wikipedia.org/wiki/Convolutional neural network#/media/File:Typical cnn.png</u>

- multiple convolutional Layers, each of those Layers uses a filter or kernel to create a new feature-map
- \* convolutions use the same filters on different locations of the image

#### 2.B Features of a CNN

Taken from: Visualizing and Understanding Convolutional Networks - Matthew D Zeiler, Rob Fergus



Filters of a VGG- Network trained on IMAGENET visualized

#### **2.B Value Function**

Optimal Value function:

 $Q^*(s, a) = \max \mathbb{E}[r_t + \gamma r_{t+1} + \gamma^2 r_{t+2} + \dots | s_t = s, a_t = a, \pi]$  $a : \text{Action} \quad \begin{array}{l} \pi \\ r_t : \text{Reward} \\ s : \text{State} \end{array} \quad \begin{array}{l} \gamma \in (0, 1) : \text{Discount} \\ \end{array}$ 

- \* maximum expected Reward given any policy, state and action
- \* Q-learning:

$$Q^{i+1}(s_t, a_t) \longleftarrow Q^i(s_t, a_t) + \alpha(r_t + \gamma \max_{a_{t+1}} Q^i(s_{t+1}, a_{t+1}) - Q^i(s_t, a_t))$$

$$Q^i(s,a) \longrightarrow^{i \to \infty} Q^*(s,a)$$

# 2.B Designing the Input

 The state is a concatentation of consecutive downsampled and grayscaled frames



Taken from: https://www.freecodecamp.org/news/an-introduction-to-deep-q-learning-lets-play-doom-54d02d8017d8/

frames

 Reward is the score difference of the ATARI games reached within the frames

$$r_t = \text{Score}_{t+1} - \text{Score}_t$$

## 2.B State: Concept of Time



Taken from: https://towardsdatascience.com/self-learning-ai-agents-part-ii-deep-q-learning-b5ac60c3f47

Multiple frames allow the agent to learn a concept of movement and time  $s_t$ : Stack of consecutive frames

# **2.B Experience Replay**

#### \* Intuition:

\* Save past experiences so that the agent can learn from them

Taken from: https://www.freecodecamp.org/news/an-introduction-to-deep-q-learning-lets-play-doom-54d02d8017d8/



- \* Benefits:
  - Avoids forgetting previous episodes
  - Reduce correlations between experiences

#### 2.B Experience Replay Forgetting Previous Episodes

Taken from: <u>https://www.freecodecamp.org/news/an-introduction-to-deep-q-learning-lets-play-doom-54d02d8017d8/</u>



Level 1

Level 2

## 2.B Training schedule

- \* Minimization Objective:  $\hat{\theta} = \operatorname{argmin}_{\theta} \mathscr{L}(\theta)$ 
  - \* Loss:

$$\mathscr{L}(\theta_i) = \mathbb{E}_{(s_t, a_t, r_t, s_{t+1}) \sim U(D)}[(r_t + \gamma \max_{a_{t+1}} Q(s_{t+1}, a_{t+1}; \theta_i^-) - Q(s_t, a_t; \theta_i))^2]$$

 $\theta_i$ : Value function (iterative updates)  $\theta_i^-$ : Target-Value function (periodic updates) D: Experience Dataset

- \* Define 2 value functions with different updates:
  - value function is updated by gradient descent w.r.t. target-value function
  - \* target value function gets updated periodically every C iterations  $\theta_C^- = \theta_C$
- Benefit: training is more stable

## 2.B Exploration vs. Exploitation



Taken from: <u>https://lilianweng.github.io/lil-log/</u> 2018/01/23/the-multi-armed-bandit-problem-and-itssolutions.html

#### *e*-Greedy

Describes the probability between exploration and exploitation by going off-policy during training of the agent

$$p(a \mid s) = \begin{array}{l} 1 - \epsilon + \epsilon/n & : a = \operatorname{argmax}_{a_0} Q(a_0 \mid s) \\ \epsilon/n & : \text{else} \end{array}$$

*n* : # actions *c* gets annealed during the training from 1 to 0.1

## 2.C Algorithm

Algorithm 1: deep Q-learning with experience replay. Initialize replay memory D to capacity N Initialize action-value function Q with random weights  $\theta$ Initialize target action-value function  $\hat{Q}$  with weights  $\theta^- = \theta$ For episode = 1, M do Initialize sequence  $s_1 = \{x_1\}$  and preprocessed sequence  $\phi_1 = \phi(s_1)$ For t = 1,T do With probability  $\varepsilon$  select a random action  $a_t$  Exploration vs. otherwise select  $a_t = \operatorname{argmax}_a Q(\phi(s_t), a; \theta)$  Exploitation Execute action  $a_t$  in emulator and observe reward  $r_t$  and image  $x_{t+1}$  play Set  $s_{t+1} = s_t, a_t, x_{t+1}$  and preprocess  $\phi_{t+1} = \phi(s_{t+1})$ Store transition  $(\phi_t, a_t, r_t, \phi_{t+1})$  in D Sample random minibatch of transitions  $(\phi_j, a_j, r_j, \phi_{j+1})$  from D Experience Set  $y_j = \begin{cases} r_j & \text{if episode terminates at step } j+1 \\ r_j + \gamma \max_{a'} \hat{Q}(\phi_{j+1}, a'; \theta^-) & \text{otherwise} \end{cases}$ Replay Perform a gradient descent step on  $(y_j - Q(\phi_j, a_j; \theta))^2$  with respect to the update Q-function network parameters  $\theta$ Every C steps reset  $\hat{Q} = Q$ End For End For

### 3. Performance on ATARI 2600

Agents trained on 49 different games

Performance =  $\frac{R_A - R_R}{R_H - R_R} \cdot 100$ 

 $R_H$ : human gaming tester score  $R_R$ : random play score  $R_A$ : agent score

 Agents have an action frequency of 10 Hz



# 3. DQN - playing Breakout



Taken from: <u>https://www.youtube.com/watch?v=TmPfTpjtdgg</u>

#### 4. Discussion

- \* Pros:
  - generalizes well to different environments
  - \* learns important features itself
    - minimizes human knowledge
  - outperforms most other algorithms (before 2015) and has the best overall score
- \* Cons:
  - decision horizon is rather short
  - algorithm learns slower than a human

#### Sources

- \* Scientific:
  - Human level control through deep reinforcement learning V. Mnih, K. Kavukcuoglu, D. Silver et al.
  - Playing Atari with Deep Reinforcement Learning Volodymyr Mnih, Koray Kavukcuoglu, David Silver et al.
  - \* Visualizing and Understanding Convolutional Networks Matthew D Zeiler, Rob Fergus
  - The Arcade Learning Environment: An Evaluation Platform for General Agents M. G. Bellemare, Y. Naddaf, J. Veness and M. Bowling
  - \* Further Reading:
    - Rainbow: Combining Improvements in Deep Reinforcement Learning Ma. Hessel, J. Modayil, H. van Hasselt et al.
    - The bitter lesson Richard Sutton: <u>http://www.incompleteideas.net/IncIdeas/</u> <u>BitterLesson.html</u>
    - \* Reinforcement Learning, Fast and Slow M. Botvinick, Sam Ritter, Jane X. Wang et al.