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# An Automata View to Goal-directed Methods

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Lisa Hutschenreiter<sup>1</sup> and Rafael Peñaloza<sup>2</sup>

<sup>1</sup>Technische Universität  
Dresden, Germany

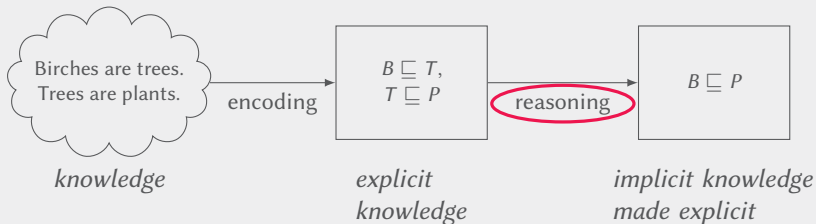
<sup>2</sup>Free University of  
Bozen-Bolzano, Italy

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# Introduction

## General task:

Given some *knowledge*, find out whether some *assertion* holds.



## Approach:

- ▶ encode knowledge using *suitable logic*
- ▶ make implicit knowledge available via *reasoning*

# Introduction

*What would the ideal reasoning method look like?*

It should be

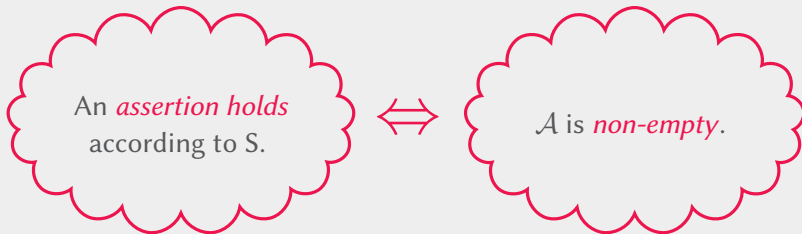
- ▶ *optimal* regarding computational complexity
- ▶ *easy* to implement
- ▶ *well-behaved* in practice
- ▶ *intuitively* understood

Two prominent families of approaches:

- ▶ **automata-based**
  - ▶ **consequence-based**
- } **combinable?**

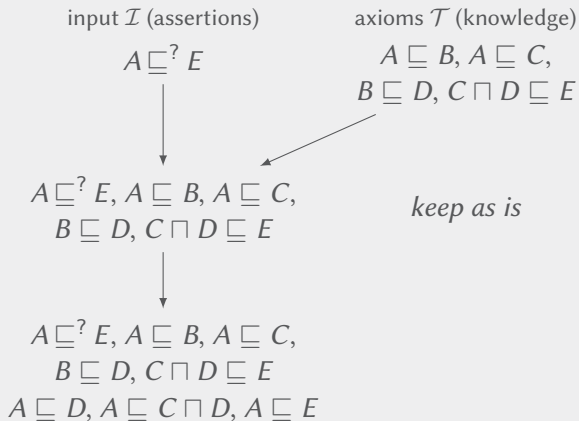
# An Idea

Given a *consequence-based algorithm*  $S$ ,  
is it possible to *construct an automaton*  $\mathcal{A}$   
such that the following is true?



# An Example for a Consequence-based Algorithm

- ▶ *initialisation*  
→ *initial state*  $(\mathcal{I}, \mathcal{T})^S$
- ▶ *rule applications*  
→ *add assertions*
- ▶ *find clash*



Signature  $\Sigma$ :

$$\{X \sqsubseteq Y, X \sqsubseteq^? Y\}$$

initialisation function  $\cdot^S$ :

$$(X \sqsubseteq Y)^S = \{X \sqsubseteq Y\}$$

$$(X \sqsubseteq^? Y)^S = \{X \sqsubseteq^? Y\}$$

clashes  $\mathcal{C}$ :

$$\{\{X \sqsubseteq Y, X \sqsubseteq^? Y\}\}$$

rules  $\mathcal{R}$ :

$$R_{\sqsubseteq}: (\{X \sqsubseteq Y\}, \{Y \sqsubseteq Z\}) \rightarrow \{X \sqsubseteq Z\}$$

$$R_{\sqcap}^{\dagger}: (\{X \sqsubseteq Y, X \sqsubseteq Z\}, \emptyset) \rightarrow \{X \sqsubseteq Y \sqcap Z\}$$

For an interpretation  $\mathcal{J}$  it is

$$C \sqsubseteq D \iff C^{\mathcal{J}} \subseteq D^{\mathcal{J}}$$

$$(C \sqcap D)^{\mathcal{J}} = C^{\mathcal{J}} \cap D^{\mathcal{J}}$$

# An Example for a Finite Tree Automaton

Consider the automaton  $\mathcal{A} = (Q, \Delta, I, F)$ :

$$Q = \{\text{yellow}, \text{orange}, \text{red}, \text{blue}, \text{green}\}$$

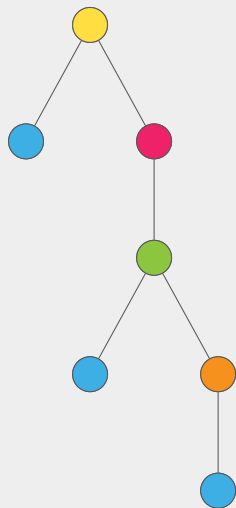
$$I = \{\text{yellow}\}$$

$$F = \{\text{blue}\}$$

$$\Delta = \{(\text{red} : \text{green}), (\text{orange} : \text{blue}), (\text{orange} : \text{green}), (\text{yellow} : \text{blue}, \text{red}),$$
  
$$(\text{green} : \text{red}, \text{orange}), (\text{green} : \text{blue}, \text{orange})\}$$

- ▶ *run* of  $\mathcal{A}$  on a tree  $t$ :  
labelling  $\text{lab} : t \rightarrow Q$  respecting  $\Delta$
- ▶ *successful* run:  
 $\text{lab}(\text{leaf}) \in F$  for all leaf nodes
- ▶ *accepting* successful run:  
 $\text{lab}(\text{root}) \in I$  for the root node

$\mathcal{A}$  is *non-empty* if there exists a finite tree  $t$  and an accepting run on  $t$ .



# Why Tree Automata?

$A \sqsubseteq^? E, A \sqsubseteq B, A \sqsubseteq C,$   
 $B \sqsubseteq D, C \sqcap D \sqsubseteq E$

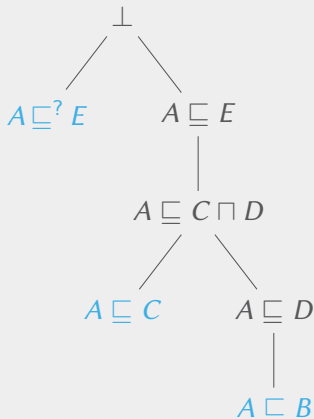
$R_{\sqsubseteq} : (\{A \sqsubseteq B\}, \{B \sqsubseteq D\})$   
 $\rightarrow \{A \sqsubseteq D\}$

$R_{\sqcap}^+ : (\{A \sqsubseteq C, A \sqsubseteq D\}, \emptyset)$   
 $\rightarrow \{A \sqsubseteq C \sqcap D\}$

$R_{\sqsubseteq} : (\{A \sqsubseteq C \sqcap D\}, \{C \sqcap D \sqsubseteq E\})$   
 $\rightarrow \{A \sqsubseteq E\}$

$A \sqsubseteq^? E, A \sqsubseteq B, A \sqsubseteq C,$   
 $B \sqsubseteq D, C \sqcap D \sqsubseteq E$   
 $A \sqsubseteq D, A \sqsubseteq C \sqcap D, A \sqsubseteq E$

clash:  $\{A \sqsubseteq E, A \sqsubseteq^? E\}$

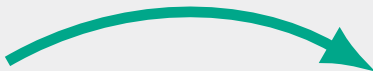


*derivation tree*

# From Consequence-based to Tree Automata

*axiomatised input*

$$\Gamma = (\mathcal{I}, \mathcal{T})$$



*Consequence-based algorithm*

$$\mathcal{S} = (\Sigma, \cdot^{\mathcal{S}}, \mathcal{R}, \mathcal{C}):$$

- ▶ signature  $\Sigma$
- ▶ initialisation function  $\cdot^{\mathcal{S}}$
- ▶ set of rules  $\mathcal{R}$
- ▶ set of clashes  $\mathcal{C}$

*Tree Automaton*

$$\mathcal{A}_{\mathcal{S}}(\Gamma) = (Q, \Delta, I, F):$$

- ▶ set of states  $Q = \Sigma_{\Gamma}$
- ▶ set of initial states  $I = \perp$
- ▶ set of final states  $F = \Gamma^{\mathcal{S}}$
- ▶ transition relation  $\Delta$   
$$\Delta = \{\delta_R \mid R \in \mathcal{R}_{\Gamma} \cup \mathcal{C}_{\Gamma}\}$$



## Theorem

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Let  $S$  be a consequence-based algorithm,  
and  $\Gamma = (\mathcal{I}, \mathcal{T})$  an axiomatised input.

Suppose that  $S$  correctly decides whether  $\mathcal{I}$  follows from  $\mathcal{T}$ ,  
and consider the tree automaton  $\mathcal{A}_S(\Gamma)$ .

Then the following equivalence holds:

$$\mathcal{I} \text{ follows from } \mathcal{T} \text{ according to } S \quad \text{iff} \quad \mathcal{A}_S(\Gamma) \text{ is non-empty.}$$

# Thank you!